

# MANDATE

## UNITED STATES COURT OF APPEALS FOR THE SECOND CIRCUIT

At a Stated Term of the United States Court of Appeals for the Second Circuit, held at the Thurgood Marshall United States Courthouse, 40 Foley Square, in the City of New York, on the 4<sup>th</sup> day of December, two thousand twenty.

Before: John M. Walker, Jr.,  
José A. Cabranes,  
Robert D. Sack,  
*Circuit Judges.*

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United States Securities and Exchange  
Commission,

### JUDGMENT

Docket No. 19-3272

Plaintiff - Appellee,

v.

Alpine Securities Corporation,

Defendant - Appellant.

The appeal in the above captioned case from a judgment of the United States District Court for the Southern District of New York was argued on the district court's record and the parties' briefs. Upon consideration thereof,

IT IS HEREBY ORDERED, ADJUDGED and DECREED that the judgment of the district court is AFFIRMED.

For the Court:  
Catherine O'Hagan Wolfe,  
Clerk of Court

  


A True Copy

Catherine O'Hagan Wolfe, Clerk

United States Court of Appeals, Second Circuit

  


MANDATE ISSUED ON 02/26/2021